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Positron affinities for elemental metals

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Abstract. The relevant quantity in the comparison of the absolute positron energy levels in different materials is the sum of the internal electron and positron chemical potentials, i.e. the sum of the Fermi level and the bottom of the lowest positron band relative to a common, well-defined reference energy. This sum is defined as the positron affinity. The positron affinity reflects the preference of the positron for different components in heterostructures made of different materials and the preference between the host matrix and precipitates in alloys. Moreover, the affinity is closely related to the positron work function and positronium formation potential which are important parameters in the slow-positron-beam experiments. We have determined the positron affinity for the alkaline and alkaline-earth metals, 3d-, 4d-, and 5d-transition metal series, and for some metals on the right in the Periodic Table. The diamond structure semiconductors are also considered. The determination is based on the self-consistent electron structure calculations and the subsequent calculation of the positron band structure within the local-density approximation. The trends are studied and interpreted along the different columns and rows of the Periodic Table. The results are also compared with available experiments.

1. Introduction

The experimental methods based on positron annihilation have a large number of applications in the different subfields of solid state physics [1, 2]. For example, positron lifetime and Doppler-broadening spectroscopies have been extensively used to study defects in crystal structures. The angular correlation techniques are capable of determining Fermi-surface topologies. The properties of solid surfaces and the near-surface regions have recently been investigated by slow-positron-beam techniques. One of the key prerequisites for the proper interpretation of the experimental results is the understanding of the properties of delocalised positrons in perfect lattices. These kinds of properties include the positron energetics, i.e. the positron energy level relative to the vacuum, and its variation in a solid between regions of different structure or chemical composition. The positron diffusion properties also belong to this category.

The relative positions of the energy levels of delocalised positrons between different materials in contact are determined by one number, the positron affinity. We define it as the sum of the internal electron and positron chemical potentials. The electron part takes care of the electrostatic energy difference due to the dipole layer near the interface. Note that the positron affinity defined this way will be a *negative* number, and a larger negative value means a stronger preference of the positron in the material in question. Moreover, the affinity gives directly the positronium (Ps) formation potential and,

combined with the electron work function, the positron work function with respect to the vacuum level. These two quantities are very important, directly measurable parameters in slow-positron-beam experiments. Finally, the volume dependence of the positron affinity (the deformation potential) can be used to estimate the positron diffusion constant.

The calculations of this work are based on the density-functional theory in the local-density approximation (LDA) for exchange and correlation [3]. First, the self-consistent electron structures are calculated by the linear-muffin-tin orbital method (LMTO) within the atomic-spheres approximation (ASA) [4, 5]. Thereafter the positron potential is determined within LDA for the electron-positron correlation effects, and the positron wave function and energy eigenvalue are calculated also using LMTO-ASA. The Fermi level and the bottom of the lowest positron band obtained define the internal electron and positron chemical potentials. The great benefit of ASA is a simple absolute energy scale determined by the Coulomb potential due to spherical charge densities of the atomic spheres [6]. In the case of FCC and BCC metals the spheres are in fact neutral. This feature of ASA has also been employed in estimating the electron deformation potentials for semiconductors [7] and the band offsets for semiconductor heterojunctions [6].

The main purpose of this work is to provide a consistent positron affinity data base including a large number of elemental metals. This data base can be used as a guideline in the interpretation of experimental results. It also enables a fruitful study of different trends seen along the columns and rows of the Periodic Table. Especially, it is interesting to analyse the trends in the positron affinity resulting from the interplay between the electron and positron chemical potentials, which reflect differences in the one-particle potentials and also different aspects of the one-particle band structures. Moreover, the comparison of the theoretical and experimental Ps formation potentials gives an idea about the validity of the approximations (nominally LDA) made. The power of the theoretical method has already been shown in a previous paper [8] based on similar calculations for some metals and semiconductors, but the trends were not studied systematically in that work.

2. Theory

The calculation methods and the theory of the electron and positron energy levels are carefully explained in the previous publication [8]. Therefore we give here only the main points. In the LDA of the density-functional theory the effective potential for the electrons is written in the form

$$v_{\text{eff}}(\mathbf{r}) = \varphi(\mathbf{r}) + v_{xc}(n(\mathbf{r})) \quad (1)$$

where $\varphi(\mathbf{r})$ is the Coulomb potential due to the nuclei and electron charge density and v_{xc} is the LDA exchange-correlation potential [9], which depends on the electron density $n(\mathbf{r})$. The effective potential determines the electron density in turn, and the ensuing self-consistency problem is solved in this work by the LMTO-ASA method. The method gives the electron-band structure, the most important parameter of which for the present application is the Fermi level. The potential sensed by the positron is constructed as

$$V_+(\mathbf{r}) = -\varphi(\mathbf{r}) + V_{\text{corr}}(n(\mathbf{r})) \quad (2)$$

where φ is the same Coulomb potential as in equation (1), and V_{corr} is the correlation

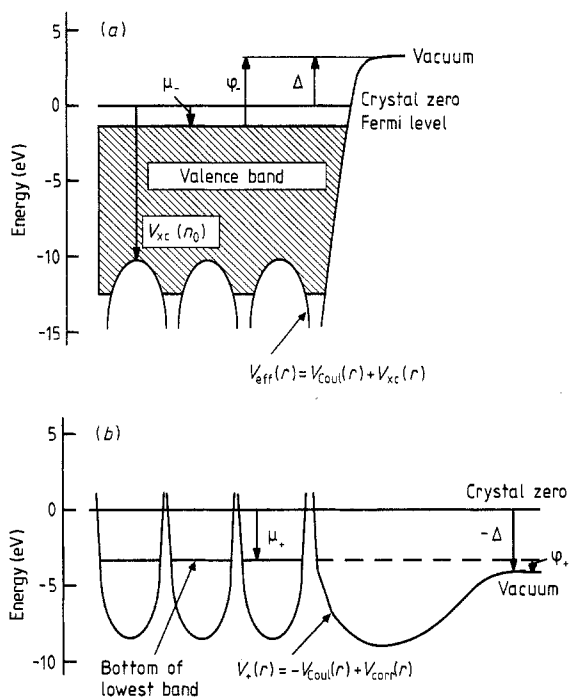


Figure 1. Electron (a) and positron (b) potentials and energy levels near a metal surface. The vertical scale corresponds to the case of Al. Δ is the dipole potential at the surface, $v_{xc}(n_0)$ is the exchange-correlation potential in the interstitial region, and φ_- and φ_+ denote the electron and positron work functions, respectively. μ_- and μ_+ are the electron and positron chemical potentials, respectively. Arrows pointing upwards and downwards denote positive and negative quantities, respectively.

potential describing the energy lowering due to the electron pile-up near the positron. The correlation potential V_{corr} is treated within LDA based on the many-body calculations for a delocalised positron in a homogeneous electron gas [10]. In the case of semiconductors the correlation effects are slightly reduced reflecting the imperfect screening [11]. The positron band structure is also calculated by the LMTO-ASA method.

In the LMTO-ASA method the potentials and energy levels are given with respect to the so-called crystal zero level, which is defined as the zero of the Coulomb potential due to the nuclei and the electron density of the infinite solid. In the ASA the lattice is divided into spheres centred around nuclei and in the case of diamond-structure semiconductors considered in this work also around the tetrahedral interstitial sites. The spheres fill the whole space and the electron density and the potentials are approximated to be spherical inside these spheres. Therefore the calculation of the Coulomb potential and the determination of the crystal zero is easy. For example, in the case FCC and BCC metals, for which all the spheres are similar neutral Wigner–Seitz spheres, the Coulomb potential due to every sphere vanishes just outside its surface.

The form of the potentials and the different energy levels for electrons and positrons in bulk crystals are shown in figure 1. The vertical scale corresponds the illustrative example of aluminium. The maximum of the effective potential occurs in the interstitial region (in ASA on the surface of the Wigner–Seitz sphere). Because the Coulomb potential vanishes there, the maximum is below the crystal zero by the exchange-correlation potential $v_{xc}(n_0)$ calculated from the interstitial electron density n_0 (the electron density on the surface of the Wigner–Seitz sphere). The valence band width is about 12 eV and the Fermi level is slightly below the crystal zero, so that the chemical potential μ_- is a small negative number. The electron energy levels with respect to vacuum are determined by the potential difference Δ due to the surface dipole charge,

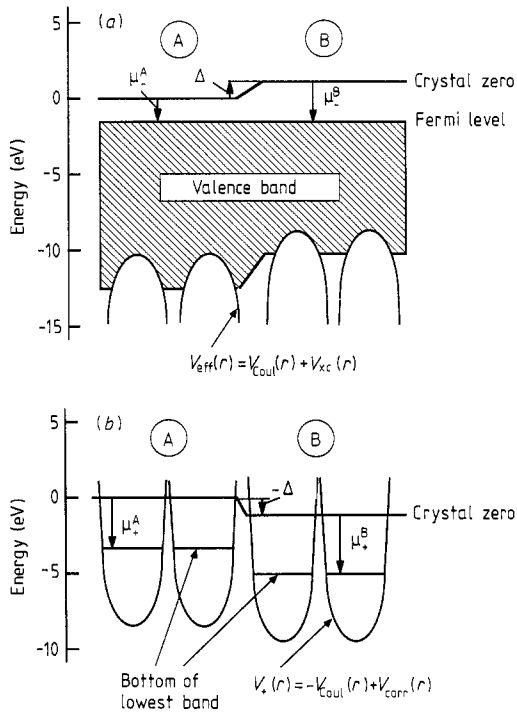


Figure 2. Electron (a) and positron (b) potentials and energy levels near a junction of two metals. The vertical scale corresponds to an Al-Zn junction. See also the caption to figure 1.

which arises because the electron density of the Wigner–Seitz cells relaxes near the surface from that for a bulk cell. As a result the dipole potential is measured with respect to the crystal zero. The electron work function φ_- is of course the distance from the Fermi level to vacuum. In the case of positrons the minimum of the total potential in bulk occurs in the interstitial region. This minimum is below the crystal zero by the correlation potential $v_{\text{corr}}(n_0)$. The positron chemical potential corresponds to the bottom of the lowest positron energy band, and is about 3 eV below the crystal zero. Outside the surface in vacuum the total positron potential has a wide minimum due to the correlation effects, which further away from the surface form the image potential [2]. The image potential vanishes towards the vacuum level, which is below the crystal zero by the amount of the surface dipole potential Δ . The surface dipole is slightly larger in magnitude than the positron chemical potential, and therefore the positron energy level in bulk is higher than in vacuum, i.e. the positron work function is negative.

Figure 2 shows the behaviour of the energy levels when two different metals are in contact. The vertical scale corresponds to the junction between Al and Zn. The Fermi levels equalise themselves via the formation of an interface dipole with the potential difference $\Delta = \mu^A - \mu^B$. As a result the difference between the lowest positron energies on the different sides of the interface is given by

$$\Delta E_+^{A,B} = E_+^A - E_+^B = \mu_-^A - \mu_-^B + \mu_+^A - \mu_+^B. \quad (3)$$

This equation shows that it is useful to define the positron affinity as the sum

$$A_+ = \mu_- + \mu_+. \quad (4)$$

A_+ is then a specific bulk property for every material. As a consequence the difference

of the positron energies between materials in contact is the difference in the positron affinities.

The important quantities measured in slow-positron-beam experiments [2] are also related to the positron affinity. The positronium formation potential ε_{Ps} is defined as the negative of maximum kinetic energy of Ps atoms ejected into vacuum from the sample. Ps is not stable in bulk metals or semiconductors, but is formed near the surface when a delocalised positron is leaving the solid. The principle of energy conservation gives

$$\varepsilon_{\text{Ps}} = \varphi_- + \varphi_+ - 6.8 \text{ eV} \quad (5)$$

because the extraction of a thermalised positron and a Fermi level electron cost in energy the sum of the work functions, but at the same time the Ps binding energy of 6.8 eV is gained. According to figure 1 we can further write

$$\begin{aligned} \varepsilon_{\text{Ps}} &= (-\mu_- + \Delta) + (-\mu_+ - \Delta) - 6.8 \text{ eV} \\ &= -A_+ - 6.8 \text{ eV}. \end{aligned} \quad (6)$$

The positron work function φ_+ is another important experimental quantity. It depends on the surface via the surface dipole potential. Its *ab-initio* determination would thus require the calculation of the self-consistent electron density for the surface. However, we can eliminate D by using the experimental electron work function φ_- as

$$\varphi_+ = -\mu_+ - \Delta = -\mu_+ - \mu_- - \varphi_- = -A_+ - \varphi_-. \quad (7)$$

Thus also the positron work function can be expressed in terms of the positron affinity A_+ .

The positron diffusion constant D in a perfect solid is determined by scattering from longitudinal acoustical phonons [12]. D depends on the strength of the positron–phonon interaction which can be described by the deformation potential E_d [13]:

$$D = \left(\frac{8\pi}{9}\right)^{1/2} \frac{\hbar^4 \langle c_{ii} \rangle}{(m^*)^{5/2} (k_B T)^{1/2} E_d^2} \quad (8)$$

where m^* is the positron effective mass, T the absolute temperature, and $\langle c_{ii} \rangle$ the elastic constant associated with longitudinal waves and averaged over the directions of propagation. The deformation potential describes the relative change of the positron energy level due to small variations (a phonon) of the atomic density in the bulk and is defined as

$$E_d^\dagger = V \frac{\partial E_+}{\partial V} \quad (9)$$

where E_+ is the positron energy level, which is measured e.g. with respect to the crystal zero of the undeformed lattice. During the density variations small charge transfers induce potentials which oppose the changes in the internal chemical potential for electrons and balance the Fermi level into a unique value in the whole sample. These induced potentials affect also on the positron energy level in the same way as in figure 2 for two types of metals in contact. As a result, the deformation potential can be calculated as

$$E_d^\dagger = V \frac{\partial(\mu_+ + \mu_-)}{\partial V} = V \frac{\partial A_+}{\partial V}. \quad (10)$$

Thus it depends on the volume derivative of the positron affinity.

Table 1. Calculated chemical potentials and their volume derivatives for electrons (μ_- and $V(\partial\mu_-/\partial V)$) and positrons (μ_+ and $V(\partial\mu_+/\partial V)$).

Host	μ_- (eV)	μ_+ (eV)	$V \partial\mu_-$ (eV)	$V \partial\mu_+$ (eV)
Li	-2.36	-5.00	-1.67	-0.25
Be	+0.87	-3.98		
Na	-2.32	-4.80	-1.61	-0.98
Mg	-1.89	-4.29	-3.57	-1.07
Al	-0.76	-3.65	-6.02	-1.83
Si	-0.54	-6.41	-7.51	+1.32
K	-2.25	-4.80	-1.23	-1.32
Ca	-2.26	-4.14	-2.66	-1.40
Sc	-1.77	-3.33	-4.96	-1.86
Ti	-1.29	-2.77	-6.64	-2.35
V	-1.01	-2.43	-8.09	-2.24
Cr	-0.35	-2.27	-9.51	-2.49
Mn	-1.15	-2.57	-8.18	-2.51
Fe	-1.16	-2.68	-8.35	-2.47
Co	-1.14	-2.77	-8.36	-2.54
Ni	-1.55	-2.91	-8.13	-2.36
Cu	-1.59	-3.22	-7.39	-2.06
Zn	-1.48	-3.76	-6.123	-1.99
Ge	-0.60	-6.19	-7.64	+1.02
Rb	-2.23	-4.74		
Sr	-2.14	-4.27		
Y	-1.76	-3.55		
Zr	-1.11	-2.87		
Nb	-0.81	-2.12	-9.15	-2.78
Mo	-0.04	-1.88	-11.3	-3.06
Tc	+0.04	-1.71		
Ru	-0.19	-1.73		
Rh	-1.02	-2.08		
Pd	-2.35	-2.69	-9.15	-3.00
Ag	-2.14	-3.22	-7.23	-2.25
Cd	-1.92	-3.86	-5.78	-2.07
Sn	-1.28	-6.32		
Cs	-2.21	-4.73		
Ba	-2.07	-4.06		
Lu	-1.58	-3.32		
Hf	-1.05	-2.65		
Ta	-0.69	-1.94	-9.40	-2.92
W	+0.33	-1.64	-12.0	-3.00
Re	+0.43	-1.40		
Os	+0.44	-1.57		
Ir	+0.04	-1.57		
Pt	-1.37	-2.26	-12.2	-3.00
Au	-1.86	-2.73	-10.2	-3.80
Pb	-1.58	-3.98	-4.81	-1.58

end of the given series antibonding d-type orbitals are being filled causing a decreasing trend in the cohesion and a slight increase in the Wigner-Seitz radius. The electron chemical potential decreases because of the lowering of the centre of the d-bands as a function of the atomic number. The antibonding orbitals are more localised than the

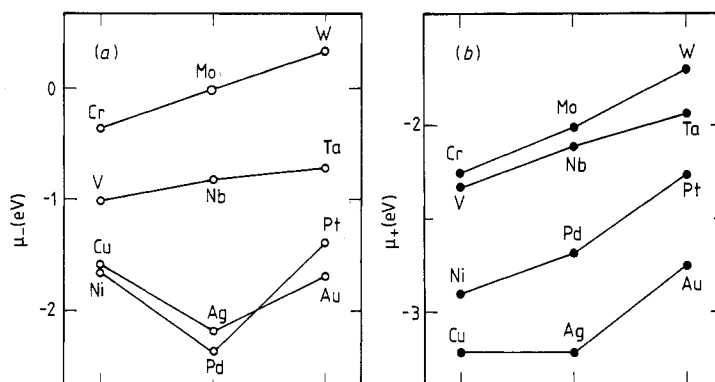


Figure 6. Calculated electron (a) and positron (b) chemical potentials for the V, Cr, Ni and Cu columns of the Periodic Table.

larger than the 4d-cores. The result is that the positron chemical potential increases monotonically in the downward direction for all transition metal columns. This completes the discussion of the trends in the positron affinity for the transition metals.

The Ps formation potential ε_{Ps} is given by equation (6). According to figure 4 ε_{Ps} is negative for most of the metals, meaning that spontaneous positronium emission is possible, after a thermalised positron has diffused from bulk to the surface. However ε_{Ps} is positive for alkali metals, which means that spontaneous positron emission is not possible there. The theoretical values for the semiconductors Si and Ge are close to zero: spontaneous Ps emission should be possible from Ge but not from Si. The experiments [16, 17] have shown emission from both semiconductors. However, this is not necessarily in disagreement with theory, because surface electron states having energy eigenvalues in the band gap and a large density on the surface can have a strong influence on positronium formation.

The positron affinities have been measured directly for eight different transition metals by Gidley and Frieze [18], who used the reemitted-positron spectroscopy. Their results are compared with the present calculations in figure 7(a). For the early BCC metals W, Mo, Ta, and Nb the experimental affinities are slightly larger in magnitude than the theoretical ones, whereas for the late FCC metals Co, Ni, Cu, and Pd the situation is reverse. The discrepancies are less than 1 eV. It is satisfying to note that the experimental and theoretical orderings within the FCC and BCC groups are the same. The positron affinities can be deduced also from the measured positronium formation potentials. This is done in figure 7(b), where the results from different sources are compared with the present theoretical values. The agreement is even better than in figure 7(a). However, the ordering of Cu and Au is different for theory and experiment.

Slow-positron-beam techniques have been used to study the properties of heterostructures formed by depositing thin metal films on metal substrates [22]. The positron affinities given in figure 3 are very useful numbers for analysing data from these kinds of studies. For example, if a few layers of Cu are evaporated on Ag substrate the positronium emission out of the sample is strongly reduced, whereas an Ag film on Cu does not cause such an effect. These features can be directly predicted from figure 4, because the positron affinity for Ag is 0.55 eV larger in magnitude than for Cu. A Cu layer on Ag forms a potential barrier for thermalised positrons in the Ag substrate which then cannot freely diffuse to the surface. Also in the case when the evaporated material

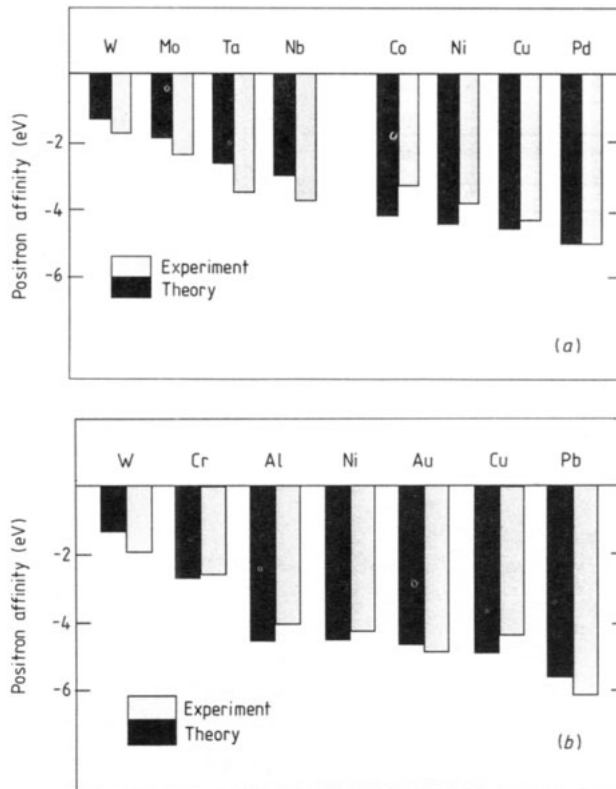


Figure 7. Comparison of the theoretical and experimental positron affinities. (a) The experimental values are measured directly by the reemitted-positron spectroscopy. (b) The experimental values are deduced from the measured positronium formation potentials by equation (6). For Al, Au, Cu, Ni, and Pb the experimental values are from [19], for Cr from [20], and for W from [21].

has a larger positron affinity in magnitude the potential step between different materials will cause wave-mechanical reflection of the positron and a reduction in the number of positrons reaching the surface. This effect should be manifest at low temperatures.

Another application of the positron affinity data is connected with the investigations of precipitates in the alloys by positron annihilation methods [23]. For example, aluminium alloys prepared by adding a few percent of Mg, Si, Cu, Zn, or Ag have been extensively studied. In these alloys precipitation process results in regions with a high concentration (over 50%) of the added element. Positrons are trapped by this kind of precipitates if they have a positron affinity larger in magnitude than the one for the bulk alloy. For the latter it is a good approximation to use the positron affinity for pure Al, because the dopant concentration is relatively low. In principle, the positron affinity for the precipitate should be calculated by using the actual lattice structure and composition of the precipitate, but already the value interpolated from the corresponding bulk material affinities is rather sufficient as will be discussed below. The main result is that the precipitate should be larger than a certain critical size in order for the trapping to occur. This size can be approximated from the calculated positron affinities: assuming that the precipitate can be described by a three-dimensional spherical potential well with

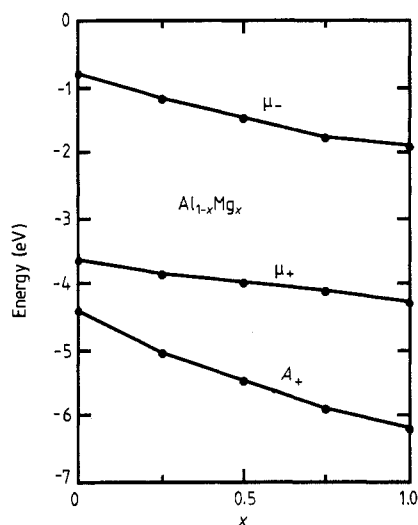


Figure 8. The electron (μ_-) and positron (μ_+) chemical potentials and the positron affinity (A_+) in $\text{Al}_{1-x}\text{Mg}_x$ as a function of the composition x . The FCC lattice structure with the lattice constant linearly interpolated from the values for pure Al and Mg metals is assumed in the calculations. The calculation is performed for the values $x = 0, 0.25, 0.5, 0.75$ and 1.0 .

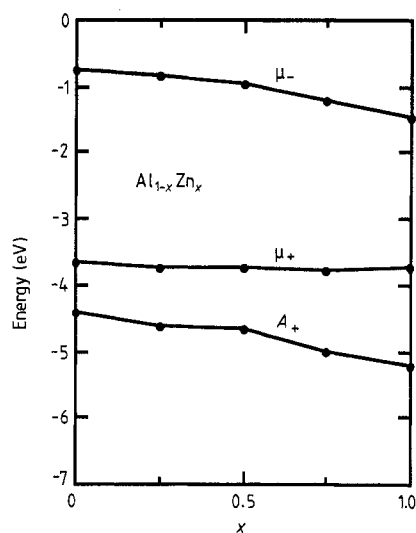


Figure 9. Same as figure 8 but for $\text{Al}_{1-x}\text{Zn}_x$.

the depth of the positron affinity difference ΔA , there is one bound state if the radius of the precipitate is larger than the critical radius

$$r_c \approx 5.8 a_0 / \sqrt{\Delta A (\text{eV})}, \quad (11)$$

where a_0 is the Bohr radius. For example, if one applies this to the 100% Zn precipitates in Al, the critical radius is $5.4 a_0$. This means that precipitates should contain at least ~ 6 Zn atoms.

In order to describe the precipitates more accurately, we have calculated the positron affinities for ordered $\text{Al}_{1-x}\text{Mg}_x$ and $\text{Al}_{1-x}\text{Zn}_x$ alloys as a function of the composition x . $\text{Al}_{1-x}\text{Mg}_x$ represents a case for which the atomic numbers of the constituents are nearly equal, but the lattice constants of the pure constituent metals are quite different. In the case of $\text{Al}_{1-x}\text{Zn}_x$ the situation is reverse i.e. the lattice constants are nearly equal, but the constituents belong to different rows of the Periodic table. In the calculations we have assumed the FCC-lattice for the alloy and the lattice constant is obtained by a linear interpolation from the pure metal lattice constants. The results are shown in figures 8 and 9. The changes in the positron affinity are determined mainly by the change in the electron chemical potential. In the case of the $\text{Al}_{1-x}\text{Zn}_x$ alloy the positron chemical potential is in fact nearly constant. The main result is that the positron affinity can be determined rather accurately by a linear interpolation. Moreover, an interesting detail given by the calculations is the positron distribution in the two different atomic spheres in the binary alloys. The spheres have equal radii. In the $\text{Al}_{1-x}\text{Mg}_x$ alloy positron is found with the same probability in the Al- and Mg-spheres, whereas in $\text{Al}_{1-x}\text{Zn}_x$ positron has a preference to Zn-spheres. For example, when $x = 0.5$ the probabilities are 53%

and 47% for the Zn- and Al-spheres, respectively. This tendency should be reflected e.g. in the measured core-annihilation contributions: because of the larger core size of Zn, the annihilation rate with the Zn-core electrons should be larger than that with Al-core electrons.

Stott and Kubica [22] have studied positron states in alloys by the positron pseudopotential method. Their approach is to some extent related to the present calculations. They studied the $x = 0.5$ -alloys and calculated the positron pseudopotential differences between the constituent atoms in the alloy. The pseudopotential difference gives the positron preference for the one type of atom over the other type and it is related to the difference of the positron affinity for bulk materials defined in this paper. Namely, the pseudopotential differences calculated by Stott and Kubica consisted of three parts: (i) the difference in the Wigner–Seitz energy (\sim zero-point energy), (ii) the effects due to charge transfer between the different cells, which were approximated by the difference in the internal chemical potential for electrons in the two pure metals, (iii) the difference in the correlation potential were calculated using LDA with the average electron density in the cell of the pure metal. All the contributions were calculated using the average Wigner–Seitz radius of the atoms in the alloy. Using the calculated volume derivatives, our positron affinity values can be made to correspond to the average Wigner–Seitz radius of the alloy in question. The affinity differences obtained in this way agree qualitatively with the pseudopotential differences.

4. Conclusions

We have performed a systematic study of the ground state energetics for positrons in undefected elemental solids. The positron affinities calculated provide a consistent data base, which supports the interpretation of the experimental results obtained by the slow-positron-beam techniques or by the conventional positron annihilation methods. In this work we explain the trends seen in the positron affinities by the help of the variations of the electron and positron chemical potentials. The results are also compared with the available experimental values, and the agreement is good: the differences are less than $\sim 10\%$ of the total range of affinity values in different solids studied.

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